



Serial No. 09/410,825

Attorney Docket No. 027756/0101

3624 jfw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Arthur W. CHAFFEE  
**Title:** INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD  
**Application No.:** 09/410,825  
**Filing Date:** 1 October 1999  
**Examiner:** N. SUBRAMANIAN  
**Art Unit:** 3624

**NOTICE OF 5 YEAR PENDENCY AND SPECIAL STATUS UNDER MPEP 707.02**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The present application was filed on 1 October 1999 and has been pending for over five years. MPEP 707.02 provides:

Any application that has been pending five years should be carefully studied by the supervisory patent examiner and every effort made to terminate its prosecution. In order to accomplish this result, the application is to be considered "special" by the examiner.

As the present application has been pending for five years, applicants request the application be treated as having special status for the remainder of its pendency.

Respectfully submitted,

Date: 12 October 2004

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By 

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